



Independent
Wealth Network

Nancy S. Bullock-Swanson

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This ADV Part 2B brochure provides information about Nancy Bullock-Swanson that supplements the Independent Wealth Network, Inc. ADV Part 2A brochure. You should have received a copy of that brochure.

Please contact us at (515) 255-3354 or compliance@indwealth.net if you did not receive the Independent Wealth Network, Inc. brochure or if you have any questions about the content of this supplement.

Additional information about Nancy Bullock-Swanson is available on the SEC's website at www.adviserinfo.sec.gov.

Educational Background and Business Experience

Year of Birth: 1967

Formal Education beyond high school:

- Northern Arizona University, Flagstaff, AZ - M.Ed. 1992-1994
- Arizona State University, Tempe, AZ – Bachelor in Science with Justice Studies 1987-1989
- Glendale Community College, Glendale, AZ – BSJS 1985-1987
- State advisory license 65
- Life, Health, Annuity, Disability, Term, Universal Life, Whole Life, Long- term Care, and Index Annuities Insurance Licensed

Business Background for the past 5 years:

- Preferred Wealth Management, LLC – Investment Advisor Representative - 9/15/2017 to present
- Preferred Group, LLC – Insurance Advisor – 4/11/2016 to present
- Swanson Group, LLC – Insurance Advisor – 8/2004 to present
- Preferred Financial Group, LLC – Administrator - 8/16/2019 to present
- Brokers International Financial Services – Registered Representative – 9/1/2017 to 8/16/2019
- Cetera (formerly Financial Network Investment Corp) – Financial Advisor – 11/2004 to 1/2014

Disciplinary Information

List any legal or disciplinary event, which occurred during the previous 10 years.

NONE

Other Business Activities

Other capacities in which you participate in investment-related business and the material conflicts of interest this presents:

Ms. Bullock-Swanson is a licensed insurance agent using the name of Preferred Group, LLC in Arizona, and Swanson Group, LLC in Iowa, both which provide insurance products including life insurance, disability insurance, long-term care insurance, individual and group health insurance, and fixed annuities. Selling these types of products generate a sales commission and are not typically part of a fee-based program. She also is an administrator (non-registered personnel) for Preferred Financial Group, LLC and supports financial and insurance advisors through Brokers International Financial Services.

Any other activities if they involve more than 10% of your time or compensation.

None

Additional Compensation

Consistent with firm policies I may attend training events, due diligence meetings and other events provided and paid for by the sponsors of mutual funds or other investment products, which I may recommend to my clients. The receipt of this cash or non-cash compensation may create an incentive to recommend these investment products.

Investment Advisor Representatives are required to act in the best interest of the clients and are required to recommend only investment advisory programs, investment products and securities that are suitable for each client based upon the client's investment objectives, risk tolerance and financial situation and needs.

Investment Adviser Representatives may also refer clients and prospective clients to a separate disclosure document that the client has or will receive that sets out a more detailed explanation of the material risks of investment strategies or methods of analysis that are or will be used to manage the client's account.

Supervision

Clients have or will received an Investment Policy Statement as part of their Investment Advisory Agreement which they acknowledge and sign.

The firm utilizes supervision policies applicable to all investment advisory programs, because of the different features and services of our programs, program-specific policies, and procedures.

The compliance officer and supervisor is: Arthur L. Dinkin, President & CCO (515) 255-3354